ATTORNEY DOCKET NO.: 1140668-0025

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

APR **1 3** 2006

: Wolfgang Horn et al.

Serial No.

- -----

Filed

For

: 09/896,776

June 29, 2001

INDUSTRIAL CONTROLLER BASED ON DISTRIBUTABLE

**TECHNOLOGY OBJECTS** 

Examiner

: Thomas K. Pham

Group Art Unit

: 2121

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22313-1450

Scott T. Weingaertner

<u>37,756</u>

Aftorney Name

PTO Reg. No.

Signature

April 10, 2006

Date of Signature

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Supplemental Information Disclosure Citation Form PTO1449. Neither the identified references, nor this Supplemental Information Disclosure Statement
shall be considered to be an admission that the information cited is, or is considered to be, material.
Applicants respectfully request that the Examiner consider the listed documents, initial the enclosed

Form PTO-1449 to indicate such consideration, and return a copy of the initialed Form PTO-1449 to Applicants.

This Supplemental Information Disclosure Statement is being submitted pursuant to 37 C.F.R. §1.97(b). Other than listed U.S. patents and patent applications, copies of all listed documents are provided.

Pursuant to 37 C.F.R. §1.97(h), the submission of this Supplemental Information Disclosure Statement shall not be construed to be an admission that any document cited in the Statement is, or is considered to be, material to patentability.

Applicants bring to the Examiner's attention Examination Reports issued in related German Application Nos. DE 100 55 168.8 and DE 100 169.9, translations of which are enclosed herewith.

Applicants provide an English language Abstract to German Patent No. DE 197 40 550 A1 and an English language counterpart to German Patent No. DE 198 53 205 A1.

Reference (3) in the Examination Report issued in German Application No. DE 100 169.9 is not presently available to the applicants, but its significance as perceived by the German Examiner is set forth in the Examination report (e.g., translation, p.2). The other non-patent references, identified as (4) and (5) in the Examination report, understood to be are general software textbooks that are not believed to be in the Applicants' possession.

## Time of Transmittal of Supplemental Information Disclosure Statement

This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(4) prior to the mailing of a first Office Action after filing a Request for Continued Examination under 37 CFR §1.114. No fee is believed to be due; however, Applicants authorize the Commissioner to charge any fee which may be due in connection with this communication, to Deposit Account No. 23-1703.

Dated: April 10, 2006

Respectfully submitted,

Scott T. Weingaertner

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### **Enclosures**

U.S. Patent App. No. 09/896,776 Docket No. 1140668-0025

APR 1 3 2006

PTO/SB/08A (08-03) (modified)

Approved for 185 through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number		09/896,776			
Filing Date		June 29, 2001			
First Named Inventor		Wolfgang Horn et al.			
Art Unit		2121			
Examiner Name		Thomas K. Pham			
Attorney Docket	Number	1140668-0025			

		U.S. P	ATENT DOCU	MENTS				
Examiner	Cite	Document Number	Publication Date	Name of Patentee	Pages, Columns, Lines, Who			
Initials	No.1	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages/Figures Ap	pear		
		6,539,268	03-25-2003	Wucherer				
		6,594,541	07-15-2003	Heber et al.				
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		6,950,715	09-27-2005	Wucherer				
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		FOREIG	N PATENT DO	CUMENTS				
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
Initials*	No.1	0 . 0 . 3 4	MM-DD-YYYY	Applicant of Cited	Relevant Passages Or Relevant	Té		
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	<del>                                     </del>	Document	Figures Appear	-		
	_	DE 197 40 550 A1	04-16-1998	Heber et al.		*		
	. I	DE 198 53 205 A1	06-15-2000	Heber et al.		*		
		NON PATENT	LITERATURE	DOCUMENTS				
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, mag								
Initials	No.	serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
		Search Report issued in related German Application No. DE 100 55 169.9 and its translation.						
		Search Report issued in related German Application No. DE 100 55 168.8 and its translation.						
		English language Abstract to German Patent No. DE 197 40 550 A1						
		Seki, T. et al.: "Decentralized Autonomous Object-oriented EMS/SCADA System"; 3rd ISADS, 9-11 April 1997, pps. 395-402.						
		Jasper, W. J. et al.: "Real-time System for Data Acquisition and Control of Batch Dyeing"; IEEE Annual textile, May 1994, pps. 1-5.						
		Lafont, J. C. et al.: "Modelling and a Design of a Production Automated System Using a Decisional Object Oriented Method 'MACSOOD'"; Proceedings CompEuro 1993, May 24-27 1993, pps. 282-287.						
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		Smith, L. S. et al.: "Object-oriented M	odelling and Contro	ol"; IEE Colloquium, March	5, 1991, pps. 2/1-2/3.			
		Coote, S. et al.: "Graphical and Iconic Oriented Approach"; IEEE Workshop,			ss Control: An Object			
Examiner Si	gnature			Date Considered		Т		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Application its to place a check mark here if English language Translation is attached. This is attached. This office the standard of your CPF 1.19 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.